

Declaration For Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to our my name,

I believe I am the original, first and sole inventor or an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: CDNA ENCODING P2P PROTEINS AND USE OF P2P CDNA-DERIVED ANTIBODIES AND ANTISENSE REAGENTS IN DETERMINING THE PROLIFERATIVE POTENTIAL OF NORMAL, ABNORMAL, AND CANCER CELLS IN ANIMALS AND HUMANS the specification of which

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any Amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

All Foreign Applications, if any, filed within 12 months prior to this Application

Country	Application Number	Date of Filing (day, month, yr)	Date of Issue (day, month, yr)	Priority Claimed Under 35 USC §119
				<input type="checkbox"/> yes <input type="checkbox"/> no
				<input type="checkbox"/> yes <input type="checkbox"/> no
				<input type="checkbox"/> yes <input type="checkbox"/> no

Earliest Foreign Application(s), if any, filed more than 12 months prior to this Application

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, We acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

## UNITED STATES APPLICATIONS

Application Serial No.	Filing Date	Status
60/027,568	9/27/96	Pending

LAW OFFICES  
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POWER OF ATTORNEY As a named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

GERARD J. WEISER, Reg. No. 19,763  
HOWARD M. EISENBERG, Reg. No. 36,789

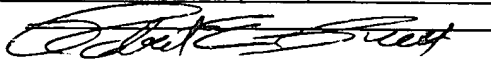
SEND CORRESPONDENCE TO:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Robert E. Scott  
Residence Memphis, Tennessee  
Citizenship United States Post Office  
Address 6280 Shady Grove Road, Memphis, Tennessee 38120  
Inventor's Signature  Date 2/18/97

09844045-894604

Applicant: Robert E. Scott  
 Atty. Doc. No. 372.6435P  
 Serial No. Not Yet Assigned  
 Filed:

For: CDNA ENCODING P2P PROTEINS AND USE OF P2P CDNA-DERIVED ANTIBODIES AND ANTISENSE REAGENTS IN DETERMINING THE PROLIFERATIVE POTENTIAL OF NORMAL, ABNORMAL, AND CANCER CELLS IN ANIMALS AND HUMANS

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
 (37 C.F.R. §1.9(f) and §1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: The University of Tennessee  
 ADDRESS OF ORGANIZATION: 415 Communications Bldg.  
 Knoxville, Tennessee 37996-0344

TYPE OF ORGANIZATION:

- ☐ University or other Institution of Higher Education;
- ☒ Tax exempt under Internal Revenue Service Code (26 U.S.C. §501(s) and §501(c)(3));
- ☐ Nonprofit scientific or education under Statute of State of the United States of America  
 (Name of State \_\_\_\_\_)  
 (Citation of Statute \_\_\_\_\_);
- ☐ Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §501(a) and §501(c)(3)) if located in the United States of America;
- ☐ Would qualify as nonprofit scientific or educational under Statute of State of the United States of America if located in the United States of America  
 (Name of State \_\_\_\_\_)  
 (Citation of Statute \_\_\_\_\_).

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. §1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled CDNA ENCODING P2P PROTEINS AND USE OF P2P CDNA-DERIVED ANTIBODIES AND ANTISENSE REAGENTS IN DETERMINING THE PROLIFERATIVE POTENTIAL OF NORMAL, ABNORMAL, AND CANCER CELLS IN ANIMALS AND HUMANS by inventor(s) Robert E. Scott described in

- ☒ the specification filed herewith;
- ☐ application serial No. \_\_\_\_\_, filed \_\_\_\_\_;
- ☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. §1.9(d) or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. §1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. §1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Ann J. Roberson, Esq.  
 Title in Organization: President  
 Address of Person Signing: 415 Communications Bldg., Knoxville, Tennessee 37996-0344

Signature

*Ann J. Roberson*

Date 2-17-97